

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Hancock et al.	Art Unit:	Not Yet Assigned
Parent Serial No.	09/908,139	Examiner:	Not Yet Assigned
Parent Filing Date	July 17, 2001		
Serial No.:	Not Yet Assigned		
Filed:	April 12, 2004		
Title:	ANTI-ENDOTOXIC, ANTIMICROBIAL CATIONIC PEPTIDES AND METHODS OF USE THEREFOR		

Mail Stop PATENT APPLICATION

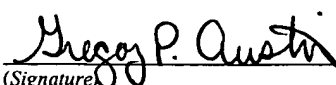
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 § CFR 1.97, Applicants bring to the Examiner's attention the related parent patent application, U.S. Serial No. 09/908,139, filed July 17, 2001, which is relied upon for an earlier filing date under 35 USC § 121. For the convenience of the Examiner, copies of the PTO Forms 892 and 1449 are enclosed.

It is respectfully requested that these references be considered in the examination of this application and their consideration be made of written record in the application file.

CERTIFICATION UNDER 37 CFR §1.10	
"EXPRESS MAIL" Mailing Label Number: EV 318 738 744 US	
Date of Deposit: April 12, 2004	
I hereby certify that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to: Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
GREGORY P. AUSTIN	
(Name of Person Mailing Paper)	
 (Signature)	April 12, 2004 (Date)


In re Application of:
Hancock et al.
Application No.: Not Yet Assigned
Filed: April 12, 2004
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PATENT
Attorney Docket No.: UBC1110-3

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement, because it is being filed prior to the receipt of a first office action on the merits of the above-captioned application. However, if any fee is required, authorization is hereby given to charge any fees associated with the filings submitted herewith, or credit any overpayment to Deposit Account No. 50-1355. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: April 12, 2004



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Notice of References Cited	Application/Control No. 09/908,139	Applicant(s)/Patent Under Reexamination HANCOCK ET AL.	
	Examiner Hope A. Robinson	Art Unit 1653	Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N	WO 96/38473	12-1996	PCT	Hancock et al.	
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

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FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office	Docket No. UBC1110 (07422/016001)	Serial No.: 09/143,124
	Applicant(s): R bert E.W. Hancock et al.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date: August 28, 1998	Group Art Unit: 1653

1997 U.S. PTO
09/908139

U.S. PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES/NO)

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

	AA	R.J. Wall, <i>Transgenic Livestock: Progress and Prospects for the Future</i> , Theriogenology, 45:57-68 (1996)

EXAMINER 	DATE CONSIDERED 11/3/04
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449

104776-157115

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SUBSTITUTE FORM PTO-1449 (MODIFIED)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO. UBC1110 (07422/016001)	SERIAL NO. 09/143,124
		INFORMATION DISCLOSURE STATEMENT BY APPLICANT	
		APPLICANT: ROBERT E. W. HANCOCK, et al.	
(37 CFR 1.98(b))		FILING DATE 08/28/98	GROUP 1632

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
AA		WO 89/00199	12.01.89	PCT				

OTHER DOCUMENTS (including Author, Title, Date, Place of Publication)

AB	Gabay et al., "Antibiotic proteins of human polymorphonuclear leukocytes," <i>Proc. Natl. Acad. Sci. USA</i> , 86:5610-5614 (1989)
AC	Romeo et al., "Structure and Bactericidal Activity of an Antibiotic Dodecapeptide Purified from Bovine Neutrophils," <i>The Journal of Biological Chemistry</i> , 263(20):9573-9575
AD	Zasloff et al., "Antimicrobial activity of synthetic magainin peptides and several analogues," <i>Proc. Natl. Acad. Sci. USA</i> , 85:910-913 (1988)
AE	

EXAMINER

Aspe Robinson

DATE CONSIDERED

1/13/04

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